

1 Review

2 **The Influence of Caffeine Expectancies on Sport,** 3 **Exercise, and Cognitive Performance.**

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11 **Abstract:** Caffeine (CAF) is widely consumed across sport and exercise for its reputed
12 ergogenic properties, including central nervous stimulation and enhanced muscular force
13 development. However, expectancy and the related psychological permutations that are
14 associated with oral CAF ingestion are generally not considered in most experimental
15 designs and these could be important in understanding if/how CAF elicits an ergogenic
16 effect. The present paper reviews 17 intervention studies across sport, exercise, and
17 cognitive performance. All explore CAF expectancies, in conjunction with/without CAF
18 pharmacology. Thirteen out of 17 studies indicated expectancy effects of varying
19 magnitudes across a range of exercise tasks and cognitive skills inclusive of but not
20 limited to; endurance capacity, weightlifting performance, simple reaction time and
21 memory. Factors, such as motivation, belief, and habitual CAF consumption habits
22 influenced the response. In many instances, these effects were comparable to CAF
23 pharmacology. Given these findings and the lack of consistency in the experimental
24 design, future research acknowledging factors, such as habitual CAF consumption habits,
25 habituated expectations, and the importance of subjective post-hoc analysis will help to
26 advance knowledge within this area.

27 **Keywords:** Caffeine; placebo; sport; exercise; health; expectancy; cognitions

28

29 1. Introduction

30 Caffeine (CAF) is amongst the most frequently used psychoactive substances in the
31 world [1–6]. Approximately 90% of adults consume CAF in their everyday eating/drinking
32 patterns [7]. Furthermore, three out of four British athletes consume CAF prior to competition
33 [2]. CAF can be ingested from natural sources (e.g., coffee and chocolate beans, tea leaves,
34 kola nuts, etc.) or can be artificially synthesized and included in food and drinks (e.g.,
35 energy drinks/gels) [2]. CAF may improve numerous cognitive and behavioural
36 mechanisms that are associated with successful sport, exercise and cognitive performance,
37 including: alertness, concentration, energy levels, and self-reported feelings of fatigue [8,9].
38 CAF has also been observed to improve sport, exercise and cognitive performance directly
39 [2,3,7,10]. Typically, the ergogenic effects of CAF have been observed with doses ranging
40 from 3–9 mg/kg/body mass (BM) [11]. However, some individuals may be liable to CAF's
41 anxiogenic effects, whilst others are susceptible to its ability to induce sleep disturbances
42 and insomnia [11–14], and these effects may have substantial ramifications on the quality
43 of exercise recovery, training, and preparation for sports competitions or general training.
44 CAF consumption has also been observed to increase blood pressure [15], heart rate [16],

45and the production of catecholamines, the latter of which have been reported to damage
46myocardial cells and increase the risk of myocardial infarctions, especially during exercise
47performance, whereby catecholamine total volume is already augmented [17].

48 It is likely that the effects of CAF are mediated by various interpersonal factors, such
49as age, the use of other drugs or medications (e.g., alosetron, adenosine, deferasirox etc.)
50that may interact with CAF's effects, circadian factors/time of ingestion, in some instances
51the development of CAF tolerances (whereby a greater dosage is required to elicit the same
52physiological effect, as previously consumed lower dosages), and genetic predispositions
53[18,19].

54 Genetic predispositions may influence the acute and chronic responses to CAF
55ingestion both directly and indirectly. For example, genetic transcription of the AA allele of
56the CYP1A2 gene and subsequent mobilisation of the enzyme p450 has been reported to
57increase CAF metabolism, whereas a single base change of A to C at position 734 within
58intron 1 may decrease enzyme inducibility [20–22]. As such, individuals with the AA allele
59are considered as fast metabolisers, whereas those with the AC and CC alleles are
60considered slow metabolisers [20,21]. Slower CAF metabolism may increase the plasma
61half-life of CAF, potentially augmenting the previously ascribed risks to exercise and health
62states [11–16,23,24]. In some populations, these genetic differences are significantly more
63prominent, for example, females exhibit reduced CYP1A2 activity versus men, and females
64who are taking the oral contraceptive pill may be at even greater risk due to the ability of
65both oestrogen and progesterone to inhibit CYP1A2 activity [25,26]. CAF half-life has also
66been observed to extend up to 16 hours in pregnant females, which may pose a risk to
67foetus health and development [21]. Polymorphisms may often go unnoticed until the
68debilitative effects of slower CAF metabolism have already manifested, this is unless
69individuals are genetically screened or are made aware of such a condition [27,28].

70 The aforementioned health concerns are typically problematic following consumption
71of pharmacologically active caffeine. However, the psychological permutations (e.g.,
72changes in motivation, determination, belief, mood states, etc.) that are associated with
73expectancy of oral caffeine consumption may influence sport, exercise and/or cognitive
74performance comparably versus caffeine pharmacology, but significantly reduce any risks
75to health [1,4,6]. Expectancy is closely associated, and in some instances assumed to have a
76direct relationship with, the placebo effect [29, 30, 31]. It is suggested by manipulating the
77degree of expectancy, subsequently placebo efficacy might increase [32,33]. According to
78expectancy theory, placebo effects are mediated by explicit (consciously accessible)
79expectations that are influenced by factors, such as verbal information and observational
80learning [31]. Positive and negative expectations may generally influence the effectiveness
81of an inert intervention by resulting in either a facilitative (placebo) or debilitating (nocebo)
82response [34,35], although some contradictory findings have been observed [4,36,37].
83Expectations may also influence the magnitude of effect observed after administration of
84pharmacologically active agents. Indeed, previous research advocates when compared in
85isolation, the synergistic effect of the pharmacological and psychological influence of
86nutritional interventions lead to the greatest improvements in sport, exercise and cognitive
87performance [3,6,30]. Within the context of sport and exercise nutrition, expectancy has
88been implicated following deceptive administration of anabolic steroids [38,39],
89carbohydrates [40,41], amino acids [42], sodium bicarbonate [29,30], super oxygenated
90water [43], and creatine monohydrate [44].

91 At present, the psychological permutations that are associated with caffeine are largely
92unaddressed in most experimental designs but could be as important as caffeine
93pharmacology in understanding if/how CAF elicits an ergogenic response on sport,
94exercise, and/or cognitive performance. Furthermore, caffeine expectancies may represent
95an alternative to caffeine pharmacology, which could prove particularly useful to
96individuals predisposed to caffeine's debilitating health concerns. For individuals who are

97not predisposed to caffeine's debilitating health concerns, synergism of caffeine
98psychology, and pharmacology may present the greatest ergogenic benefit. However, in
99contrast to biological sensitivity that is associated with adenosine and/or ryanodine
100receptors, expectancies and beliefs may be trained and/or manipulated, which may further
101enhance any ergogenic benefit.

102 Therefore, the primary purpose and novelty of the current systematic review is to
103analyse and explore existing literature regarding the effects of CAF expectancies on sport,
104exercise, and cognitive tasks [45,46] (e.g., The Bakan vigilance task, congruent,
105incongruent stimulus tasks, card organisation tasks, rapid visual information processing
106tasks, etc.) that are considered to be important determinants of skills (including
107concentration levels, attentional focus, information recall, memory, simple motor speed
108performance, and many more [47,48]) associated with successful sport, exercise, and
109cognitive performance. These cognitions may also improve an individual's ability to learn
110psychological (imagery, self-talk, muscular relaxation methods etc.) and performance
111specific skills (passing, dribbling during soccer, etc.) [49–51].

112 The inclusion criteria for the current review entailed studies with a primary aim of
113exploring CAF expectancies across sport, exercise, and/or cognitive performance (i.e.,
114participants are administered an experimental/inert intervention, whilst being informed
115correctly/incorrectly with respect of its purpose). Various databases were searched (i.e.,
116Google Scholar, SportDiscus, ResearchGate) with search criteria including terminology
117such as “caffeine expectancy”, “caffeine placebos” and “caffeine deception”. Where
118applicable secondary search criteria were included and consisted of terminology, such as
119“sport”, “exercise”, “cognitions”, and “mental processing”. If databases did not provide this
120option, then primary and secondary search terminology were amalgamated. Finally, the
121reference sections of select papers were also used to inform this process. In total, 17 studies
122fulfilled this criterion and were subsequently included. This review is therefore split into
123two sections; Section 1 explores CAF expectancies and sport and exercise performance
124(Table 1), whilst Section 2 explores CAF expectancies and cognitive performance (Table
1252).

1262. CAF Expectancies and Sport and Exercise Performance

1272.1. Beedie et al. [45]

128 The improvements in cycling capacity following CAF expectancies in Beedie et al.
129[45] were comparable to the administration of CAF reported elsewhere. However, the study
130design that was employed did not entail CAF consumption therefore no direct comparisons
131were made. No significant differences were observed for any physiological variables which
132indicates the mechanisms underlying these results were not mediated by substantial changes
133in effort. To further explore the potential mechanisms, two semi-structured interviews (nota
134bene (N.B.) before and after the experimental deception was revealed) were performed
135exploring participant expectancies, and they were subsequently analysed using inductive
136content analysis [52].

137 Four out of seven participants indicated that they believed CAF would positively
138influence their performance. Five participants reported changes in subjective perceptions
139associated with CAF, with dose-dependent increases in aggression, vigour, and energy
140following the consumption of CAF-LOW and CAF-HIGH, respectively. Some participants
141even misinterpreted better starts to exercise performance because of CAF ingestion, which
142augmented feelings of motivation and effort [6], with one participant suggesting ‘oh great,
143well I'll press a little bit harder and I'll go a little bit faster’ (page (p). 2161). Six
144participants provided perceived mechanisms that are associated with CAF. These included;
145reductions in pain perception, belief-behaviour relationships (enhanced expectations
146resulting in changes in behaviour), increased attentional and physiological arousal. Yet, no

147clear relationship between expectancies and performance effects emerged. This may be due
148to only 67% of participants believing that they had ingested CAF. Had a design been
149adopted that more effectively manipulated expectancies, then this figure would be closer to
150100%. This may have been achieved through a double-dissociation design, which is
151considered to be the most suitable design when exploring CAF psychology and
152pharmacology [37,45]. The double dissociation design includes four groups representing a
153placebo (given placebo (PLA)/told PLA (GP/TP)) and the pharmacological (given
154CAF/told PLA (GC/TP)), psychological (given PLA/told CAF (GP/TC)) and synergistic
155effect(s) of CAF (given CAF/told CAF (GC/TC)) on the dependent variable(s) assessed.
156When compared to experimental designs non-inclusive of deceptive administration (e.g.,
157traditional single-blind and double-blind protocols), participant beliefs are intentionally
158manipulated in accordance with the experimental purpose, which reduces the discrepancy
159of individuals guessing which supplement they have ingested. If uncontrolled, this might
160cause overlaps between pharmacology and expectancies, making it difficult to delineate the
161individual effects of these properties.

1622.2. Foad et al. [36]

163 Foad et al. [36] suggest that the low magnitude of effect for GP/TC may be attributable
164to a lack of counterbalancing. Due to a clearly distinct taste in CAF containing saline
165solutions GC conditions always preceded GP. Therefore, the differences in taste and
166potential reductions in perceived side effects may have raised participant suspicions and
167lowered expectancies during GP. This issue may have been augmented as participants were
168considered moderate CAF consumers and may have consciously expected CAF associated
169symptoms [6]. Alternatively, the reduction in mean power output (MPO) following
170synergism of CAF belief and pharmacology could be attributed to reductions in conscious
171efforts that are associated with an overreliance on CAF's ergogenic effectiveness (this
172notion is later supported by Tallis et al. [37]). Unfortunately, post-hoc analysis was not
173performed therefore these explanations remain speculative. Implementation of post-hoc
174analysis is fundamental to gain a greater understanding of the mechanism(s) associated with
175expectancy. This can be achieved via the use of questionnaires [30], visual analogue scales
176[46], and verbal feedback mechanisms (e.g., interviews, private Dictaphone logs, etc.) [45].
177Within the current review, only two studies [45,46] performed post-hoc analysis to
178subjectively explore these mechanisms.

1792.3. Pollo et al. [53]

180 A greater placebo effect was observed following implementation of acute conditioning
181procedures, and this was likely mediated by greater reductions in perceptual fatigue. The
182authors suggest these results underline the role of learning during the placebo response, and
183the importance of habituated expectancies that may be influenced by previous CAF
184experiences. Unfortunately, only 4/17 studies explored habituated expectancies in the
185current review [37,54–56]. Alternatively, these results may have been influenced by
186methodological limitations that are associated with a between-subjects design. This design
187entails various inter-participant differences (e.g., genetics, age, gender, personality traits,
188etc.) that have been observed to influence CAF metabolism [25,26]. For example, while no
189significant differences were observed in anthropometric variables, weight lifted or 1
190repetition max (RPM), personality differences were not accounted for and may have
191influenced placebo responsiveness [37]. Moreover, coffee contains over 1000 compounds,
192of which many have undergone negligible investigation regarding their influence on sport,
193exercise, and cognitive performance [57]. Therefore, there remains a potential for other
194ingredients to have impacted these results.

1952.4. Duncan et al. [46]

196 In line with previous findings [6,36,45], ratings of perceived exertion (RPE) [58] was
197significantly greater during PLA versus CAF and control (CON) [46], which may indicate a
198nocebo effect. The nocebo effect has been observed to overestimate the placebo effect by
199causing greater disparity between expectancies and beliefs [59]. Future studies should aim
200to neutralise expectancies during PLA which may reduce the prevalence of nocebo
201responses and improve the reliability of comparisons. Moreover, the techniques used to
202manipulate expectancies are yet to be validated. Alternatively, these results may have been
203influenced by daily variation. A study by Smith et al. [60], devoid of any experimental
204manipulation observed similar deviations in repetitions performed (+4) during knee
205extension at an even greater exercise intensity (70% 1 RPM). Additional repetitions at
206higher exercise intensities may indicate greater daily variation at lower exercise intensities,
207due to enhanced fatigue resistance [37]. These results may have also been influenced by
208learning effects (as no familiarisation sessions were performed) and/or the provision of a
209minimum recovery period of 24 h. Bishop et al. [61] suggests resistance trained male
210individuals should be provided a minimum of 48 h recovery between sessions, with 72 and
21196 h considered optimum. In contrast, participants in Duncan et al. [46] were provided
212between 24 and 72 h of recovery. However, an expectancy effect cannot be ruled out, as
213post-hoc analysis revealed 88% of participants expected CAF to have an ergogenic effect
214on exercise performance. Additionally, during CAF, all of the participants reported either
215CAF-related symptoms or performance effects (with some participants reporting both).
216This suggests, perceived CAF consumption resulted in relative psychosomatic symptoms,
217which could have augmented expectancies and subsequently improved exercise
218performance [6].

219

Table 1. Characteristics and findings of studies assessing caffeine expectancies on sport and exercise performance.

Author(s)	Sample characteristics	Experimental design & main outcome measure(s)	Intervention/informed	Main findings
Beedie et al. [45]	7 well trained male cyclists (30 ± 11 years). Habitual caffeine consumption not reported	Design Deceptive administration, randomised, within-subjects and double-blind Main outcome measure 10 km cycle ergometer time trial	Received PLA during all trials. No treatment Control (CON) Informed Placebo (PLA) 4.5 mg/kg/BM caffeine (CAF-LOW) 9 mg/kg/BM (CAF-HIGH) CON	Perceived placebo reduced mean power output by -2.3 W vs. baseline. Perception of 4.5 mg/kg/BM and 9 mg/kg/BM caffeine increased mean power output by 4 and 9.3 W vs. baseline, respectively.
Foad et al. [36]	14 male (43 ± 7 years), moderate caffeine consuming (310 ± 75 mg) recreational cyclists	Design Double-dissociation, within-subjects, non-randomised and single-blind Main outcome measure 40km cycle ergometer time trial	Expectancy manipulation Literature detailing caffeine ergogenicity amongst elite cyclists. Received Saline solutions (told for hydration purposes only) containing PLA or CAF (5 mg/kg/BM) Informed Given CAF told CAF (GC/TC) Given CAF/told PLA (GC/TP) Given PLA/told CAF (GP/TC) Given PLA/told PLA (GP/TP)	Consumption (3.5 ± 2.0%) and belief of CAF (0.7–1.4%), respectively resulted in very likely and possibly beneficial increases in MPO. Following CAF consumption, individuals were 100%, 99% and 98% likely to display improvements in MPO equivalent to 0.5%, 1.0% and 1.5%, respectively. The chances of improved MPO following belief of CAF only, was 62%, 33% and 12%, respectively. Synergism of caffeine belief and pharmacology (2.6 ± 3.3%) indicated improvements following lower expectations. A possibly harmful nocebo effect (-1.9% ± 2.2%) was observed for given PLA/told PLA.
Pollo et al. [53]	44 male undergraduate students (22 ± 2 years). Habitual caffeine consumption N/A	Design Deceptive administration, between-subjects and single-blind Main outcome measure Knee extension exercise at 60% 1 repetition maximum (1 RPM)	Received PLA No treatment CON Informed 20 mL caffeinated coffee (CAF) CON Expectancy manipulation Literature displaying CAF benefits on resistance exercise. During study 2, two acute conditioning sessions were included, whereby exercise intensity was reduced to 45% 1 RPM but perceived as 60% 1 RPM	CAF increased PPO (11.8 ± 16.1%) and repetitions performed (2.53) versus baseline, however no effect was observed for a control. A greater placebo effect was observed during study 2 with more repetitions (4.82) performed and a greater improvement in PPO (22.1 ± 23.5%) for CAF versus baseline. CAF also reduced perceptual exertion (RPE) (~1) and this was for repetitions 3, 6, 9, 12 and 15 during study 2.
Duncan et al. [46]	12 resistance trained male participants (23 ± 6 years).	Design Deceptive administration, within-subjects,	Received 250 mL artificially sweetened water	CAF increased the number of repetitions performed (20 ± 5) and weight lifted (weight x repetitions) (713 ± 121 kg) versus CON (16

			No treatment CON	
	Habitual caffeine consumption not reported	randomised and double-blind Main outcome measure Single leg knee extension at 60% 1RPM	Informed CAF (3 mg/kg/BM) PLA CON	± 4 ; 577 ± 101 kg) and PLA (18 ± 4 ; 656 ± 155 kg), respectively. RPE was ~ 1 unit lower for CAF versus PLA, but similar for CAF and CON.
			Expectancy manipulation Literature displaying the benefits of CAF on resistance-based exercise performance	
			Received 250 mL artificially sweetened water combined with 5 mg/kg/BM or PLA	
Duncan et al. [62]	12 male (24 ± 4 years) moderate caffeine consuming (250 mg per day) trained participants	Design Double-dissociation, randomised, within-subjects and single-blind Main outcome measure 30 s Wingate test at a resistance equivalent to 7.5% BM	Informed GC/TC GC/TP GP/TC GP/TP	GC/TC significantly increased PPO, MPO and lowered RPE, in comparison to all other conditions. No significant differences were observed for GP/TC versus GC/TP. However, both groups improved PPO (59.5 and 48.9 W) and RPE (-1 and -1), versus GP/TP, respectively.
			Expectancy manipulation Literature reviewing the benefits of caffeine on high intensity exercise performance	
			Received Orange squash solutions (4 mL/kg/BM water and 1 mL/kg/BM sugar free orange squash) with or without 5 mg/kg/BM caffeine	
Tallis et al. [37]	14 male (21 ± 1 years) low caffeine consuming (92 ± 17 mg per day) participants	Design Double-dissociation, randomised, counterbalanced and single-blind Main outcome measure Maximal voluntary concentric force and fatigue resistance of the knee flexors and extensors at velocities equivalent to 30° per second and 120° per second	Informed GC/TC GC/TP GP/TC GP/TP	Peak force produced for GC/TP and GC/TC was comparable, but significantly greater versus GP/TP at both 30° per second (12.8% and 15.8%) and 120° per second (6.8% and 11.2%, respectively). Only GC/TC produced significantly greater average force production versus GP/TP, at both 30° per second (18%) and 120° per second (14.4%), respectively.
			Expectancy manipulation Verbally informed TP orange squash solutions contained no caffeine.	
Saunders et al. [6]	42 male ($37 \pm$ years) moderate habitual caffeine consuming (195 ± 56 mg per day) trained cyclists	Design Randomised, counterbalanced, double-blind and within-subjects Main outcome measures Cycle ergometer time trial at 85% peak power output Questionnaire exploring which supplement participants believed they had ingested pre and post exercise	Received Capsules containing CAF (6 mg/kg/BM) or PLA. No treatment CON Informed N/A CON	Correct identification of CAF ($n = 17$) increased MPO by 4.5% ($+10$ W) versus CON. Three more participants correctly identified CAF post-exercise, this increased MPO by a further 1.3% ($+3$ W). MBI indicated 100% chance of beneficial effects after administration and correct identification of caffeine. Correct identification of PLA ($n = 17$) decreased MPO by -0.8% for PLA (-2 W) versus CON. One more participant identified PLA post-exercise, this decreased MPO by -a further 0.6% (-1 W) versus CON. The chance of harmful effects at pre-exercise and post-exercise was 31% and 47%, respectively. Expectation for CAF following PLA ingestion ($n = 8$) increased MPO by 2.5% ($+5$ W) versus CON. Three more participants incorrectly perceived PLA as CAF post-exercise, this increased MPO by a further 0.9% ($+3$ W) versus CON. The chance of
			Expectancy manipulation N/A	

beneficial effects at pre-exercise and post-exercise, was 66% and 87%, respectively.

2202.5. *Duncan et al. [62]*

221 Duncan et al. [62] explain that their results may be explained by reduced *priori*
222 expectancies associated with GP/TP. However, only 3/12 participants correctly identified
223 GP/TP, whereas seven correctly identified GC/TC. These differences are likely related to
224 the perception of CAF symptoms. Saunders et al. [6] suggests habitual CAF users will
225 likely display greater habituated expectancies versus CAF naive individuals, and the
226 perception of side effects may catalyse beliefs to a greater extent in these individuals. This
227 further supports a relationship between CAF pharmacology and psychology and explains
228 why GC/TC conditions generally result in the greatest ergogenic benefit [3]. Alternatively,
229 the aforementioned discrepancies also indicate an issue with the efficacy of expectancy
230 manipulations, which are necessary to uphold the integrity of the double-dissociation
231 design. Once more, this issue may be associated with a lack of validation for the techniques
232 that are used to modulate expectancies. Moreover, these results may be due to learning
233 effects associated with a lack of familiarisation sessions, or the use of a single blind study
234 design, which has been observed to overestimate the placebo effect versus double blind
235 administration due to experimenter bias [63,64].

2362.6. *Tallis et al. [37]*

237 Using a 10-point Likert scale (−5 representing very negative and +5 very positive
238 effect), all participants in Tallis et al. [37] expected CAF to improve performance at the
239 beginning (mean $+3.09 \pm 0.44$) and end of exercise (mean $+3.18 \pm 0.42$). Interestingly,
240 when participants perceived CAF to have a greater performance benefit, there was a
241 negative association in peak force of the knee extensors at 120° per second for GP/TC
242 versus GP/TP. These results suggest that a greater perceived benefit may deduce a smaller
243 practical significance whereas lower perceived benefits may have greater practical
244 significance. This theory is in contrast to Geers et al. [65], who concludes that perceived
245 optimism or pessimism will facilitate a placebo or nocebo response, respectively. In
246 contrast, Tallis et al. [37] suggest an inverse relationship between expectations and
247 motivation with too positive an expectation resulting in over reliance of CAF ergogenicity
248 and reductions in conscious effort. Therefore, for the greatest performance benefits
249 expectations may need to be modulated to an optimum point (much like the inverted U-
250 hypothesis proposed by Yerkes & Dodson [66]), and this point might differ individually
251 (based on belief and concurrent level of motivation), temporally and experientially.

2522.7. *Saunders et al. [6]*

253 In contrast to previous observations [36,45,62], the findings of Saunders et al. [6]
254 suggest that the correct identification and subsequent expectation of a placebo does not
255 influence exercise performance. The variances in these findings might be associated with
256 differences in participant perceptions being associated with placebo efficacy. Like CAF
257 expectancies, a relationship may be plausible between placebo expectancies and
258 performance effects [67]. However, in the current review no studies explored placebo
259 expectancies. Moreover, when assessing the influence of CAF psychology and
260 pharmacology, post-exercise expectancies influenced by perceptions related to the
261 experimental manipulation are often overlooked, but should be considered as significant as
262 pre-exercise expectancies for subsequent bouts of exercise. This was evident through a
263 relationship between CAF expectancies, perceived symptoms (e.g., tachycardia, alertness,
264 trembling), and improvements in mood states during exercise, with participants feeling
265 “better” and “less tired” (p.7). These perceptions may have been further influenced, as
266 participants were considered aware of CAF’s ergogenic impetus and may have anticipated
267 CAF-related symptoms. Consequently, this may have enhanced expectancies and improved

268 cycling performance. However, a relationship between habituated CAF consumption and
269 expectancies should not be assumed and instead assessed independently as some
270 contradictory findings have been observed [4,36,37].

2713. CAF Expectancies and Cognitive Performance

2723.1. *Fillmore & Vogel-Sprott [56]*

273 Four types of events are relative to the type of expectancy effects observed, these are;
274 the stimuli that are associated with administration of the drug, the stimulus effect of the
275 drug, the drug's effect on a symptom/sensation related to the activity, and the subsequent
276 outcome [56,57,68]. Post-hoc analysis revealed that all participants in the current study
277 believed they had received caffeinated coffee, and the expectation for a positive/negative
278 performance effect generally correlated with the type of symptom/sensation experienced.
279 For example, individuals with positive expectancies felt more alert, whereas individuals
280 with negative expectancies felt less alert and more tense. Moreover, the differences in these
281 perceptions were directly affiliated with successful/unsuccessful psychomotor performance
282 [56]. These findings postulate that expectancies may mediate CAF-related
283 symptoms/sensations, and these symptoms/sensations might be influenced by the direction
284 of expectancy and the performance measure employed. The authors suggest that expectancy
285 effects are more likely experienced by individuals who hold neutral habituated expectancies
286 due to a greater responsiveness to expectancy manipulation techniques employed. More
287 salient techniques may be required for individuals who hold greater habituated expectancies
288 (e.g., false performance feedback, vicarious performance observations that are associated
289 with CAF, etc.) [36,37].

2903.2. *Walach et al. [69]*

291 The lack of expectancy effect observed by Walach et al. [69] might be explained by
292 various methodological limitations. Firstly, the perception of a five-minute ingestion period
293 may have been deemed insufficient by participants, especially as elevated CAF levels are
294 detected in the blood stream between 20–120 minutes [70]. This issue may have been
295 compounded as participants were considered regular CAF consumers and may have held
296 habituated expectancies regarding CAF metabolism [6]. Post-hoc analysis revealed only
297 50% of participants believed the cover story used with 15% discovering the deception
298 employed. Secondly, the consumption of exogenous CAF may have influenced these
299 findings, especially as CAF half-life ranges from 1.5–9.5 h [71] and participants were asked
300 to avoid CAF only 4 h prior to trials. This issue seems a reoccurring theme [55,72]. Thirdly,
301 the concentration tasks that were deployed involved participation in video games on a desk
302 computer. 1/6 % of participants had no experience with video games and 28% did not work
303 with a computer. Therefore, a lack of understanding for the tasks employed may have
304 influenced these findings.

3053.3. *Walach et al. [54]*

306 Subjective expectancies were considered to be neutral at baseline and they were not
307 augmented by the experimental manipulation employed. The authors attribute this to the
308 low suggested dose of CAF used (one cup of coffee). However, the low *a priori* expectation
309 observed at baseline suggests that participants held neutral beliefs regarding CAF
310 ergogenicity from the onset. In distinction to the postulate of Saunders et al. [6], these
311 findings propose that habitual CAF consumption may not necessarily indicate habituated
312 expectancies. Therefore, future research should explore habituated expectancies
313 independently. Alternatively, these findings may have been influenced by the success of the
314 expectancy manipulation employed with 16% of participants describing it as somewhat

315believable and 11% second guessing the true nature of the study. In contrast, Fillmore &
316Vogel-Sprott [56] observed performance effects across participants who displayed low a
317*priori* expectancies, however, a more successful expectancy manipulation procedure was
318confirmed. Finally, it is unclear whether the limitations that were described in Walach et al.
319[69] were addressed in this study.

320

Table 2. Characteristics and findings of studies assessing caffeine expectancies on cognitive performance.

Author(s)	Sample characteristics	Experimental design & main performance measure(s)	Intervention/informed	Main findings
Fillmore & Vogel-Sprott [56]	56 male (19–29 years) low caffeine consuming (2 ± 2 cups of coffee per day) undergraduate students	Design Deceptive administration, single-blind and between-subjects Main outcome measure Computerised pursuit rotor task adjudged by % time correctly following moving object	Received Decaffeinated coffee No treatment CON Informed Caffeinated coffee CON Expectancy manipulation 'Fairly strong dose of coffee' was prepared in front of participants. Groups were subsequently informed caffeine would positively (E+), negatively (E-) or not effect performance (E?)	Baseline psychomotor performance was similar between all groups. Additionally, all participants expected caffeine to have negligible influence. The expected effect of caffeine predicted the placebo response observed with E+ displaying the greatest performance benefits ($67.5 \pm 10.27\%$) vs. E- ($49.17 \pm 14.20\%$), E? ($57.40 \pm 11.78\%$) and CON ($57.62 \pm 9.98\%$).
Walach et al. [54]	53 male and 104 female (28 ± 8 years) regular caffeine consuming (≥ 1 cup of coffee per day) undergraduate students	Design Deceptive administration, between-subjects and double-blind Main outcome measure Self-devised test (finding misprints in a text), and Wally the worm video game	Received Decaffeinated coffee No treatment CON Informed Caffeinated coffee Decaffeinated coffee Double-blind administration CON	No expectancy effect observed.
Walach et al. [69]	44 male undergraduate students (22 ± 2 years). Habitual caffeine consumption not reported	Design Deceptive administration, between-subjects and double-blind Main outcome measure Self-devised test finding misprints in a text and clicking X on a computer when a previously denoted sequence of numbers appeared once more	Received Decaffeinated coffee No treatment CON Informed Caffeinated coffee Decaffeinated coffee. Double-blind administration CON	No expectancy effect observed.
Oei & Hartley [55]	11 male and 21 female (25 ± 8 years) low caffeine consuming (≤ 120 mg per day or 2 cups of coffee per day) undergraduate students	Design Deceptive administration, mixed-factorial, between-subjects and single-blind	Expectancy manipulation Flyer describing caffeine's effects on concentration levels Received 250 mL caffeinated (~ 143 mg) or decaffeinated coffee	For sustained attention, more correct detections were observed for told caffeine (69.05 ± 0.97) and given caffeine (69.00 ± 1.23) versus placebo (66.48 ± 1.51 and 66.53 ± 1.21 , respectively) for individuals displaying

				Informed GC/TC GC/TP GP/TC GP/TP	positive habituated expectancies only.
		Main outcome measure Sustained attention, memory, and delayed recall task		Expectancy manipulation Caffeinated coffee prepared in front of participants Participants were also allowed to inspect the jar that was perceived to contain caffeine Received 250 mL caffeinated (2 mg/kg/BM) orange juice solution in all trials	Participants committed fewer false alarms for told caffeine (5.42 ± 0.78) and given caffeine (5.42 ± 0.68) versus placebo (7.11 ± 1.01 and 7.11 ± 1.08, respectively).
Schneider et al. [75]	20 males and 25 female German adults (27 ± 8 years) Habitual caffeine consumption not reported	Design Deceptive administration, between-subjects and double-blind		Informed Caffeinated orange juice solution Non-caffeinated orange juice solution	No expectancy effect observed.
		Main outcome measure The interactive test battery for attentional performance [75]		Expectancy manipulation Flyer describing caffeine's effects on the central nervous, cognitive and cardiovascular systems	
		Design Deceptive administration, between-subjects and single-blind		Received 500 mL caffeinated (280 mg) coffee 500 mL decaffeinated coffee	CAF consumption resulted in improvements across all performance measures versus PLA, however no significant differences were observed between told impair/enhance conditions.
Harrell & Juliano [4]	19 male and 41 female (23 years) regular caffeine consuming (463 ± 208 mg per day) adults	Main outcome measures Rapid visual information processing (RVIP), and finger tapping tasks Perceived motivation was explored prior to cognitive performance using a 4-point Likert scale (0 – not at all, to 4 – extremely)		Informed Caffeinated coffee	Told enhance increased motivation for the RVIP (+ 0.58) and finger tapping task (+ 0.87) versus told impair. However, given placebo/told impair resulted in greater improvements in reaction time (−10.08 ± 10.67 milliseconds (ms)) and RVIP hits (+2.67 ± 2.33) versus given placebo/told enhance.
		Design Deceptive administration, between-subjects and single-blind		Expectancy manipulation Verbally informed caffeine would either enhance or impair performance	
		Main outcome measure Bakan vigilance task		Received 200 mL caffeinated (200 mg) or decaffeinated coffee	No effect was observed for mean correct and false hits for GC/TP (3.88 and 0.31 hits) versus GP/TC (3.72 and 0.32 hits), respectively. Neither group presented a meaningful improvement versus GP/TP.
Elliman et al. [3]	6 male and 21 female (21 years) habitual caffeine consuming (≥ 1 cup of coffee per day) undergraduate students	Design Double-dissociation, within-subjects, counter-balanced and single blind		Informed GC/TC GC/TP GP/TC GP/TP	Significant differences for correct hits were observed for GC/TC versus GC/TP (+0.24) and GP/TC (+0.40), respectively.
		Main outcome measure Bakan vigilance task		Expectancy manipulation Verbally informed decaffeinated coffee was administered in TP conditions	
		Design Double-dissociation, between-subjects and		Received 250 mL caffeinated (75 mg) or	GC/TC performed the best on all 3 performance measures, whilst GP/TP performed the worst.
Dawkins et al. [72]	44 male and 44 female habitual caffeine consuming 75 mg per day)				

			decaffeinated coffee	
	undergraduate students	single-blind Main outcome measures A card sorting task, 40 congruent (printed words and colours the same) and 40 incongruent stimulus tasks	Informed GC/TC GC/TP GP/TC GP/TP	GP/TC performed better on the congruent (39 versus 36 correct responses), incongruent (37 versus 35 correct responses) and card sorting task (10% faster) versus GC/TP.
Denson et al. [77]	63 male and 61 female (27 ± 8 years) light caffeine consuming (≤ 1 cup of coffee per day) undergraduate students	Design Deceptive administration, between-subjects and single-blind Main outcome measures The Taylor aggression paradigm following cognitive depletion (e.g., exhausting reading task and aggression provocation procedure)	Expectancy manipulation Verbally informed decaffeinated coffee was administered in TP conditions Received CAF tablets (200 mg) PLA tablets No tablet CON Informed CAF tablets CON	Following cognitive depletion, PLA resulted in greater executive control capacity versus CON and CAF. No difference was observed for CAF vs CON.
Domotor et al. [86]	42 male and 65 female (22 ± 4 years) habitual caffeine consuming (3 ± 1 cups of coffee per day) undergraduate students	Design Deceptive administration, between-subjects and double-blind. Main outcome measure Simple reaction time using the PsychLabWin v.1.1 software (Informer technologies inc, Washington D.C, United States of America).	Expectancy manipulation Verbally informed CAF tablets were equivalent to 2 cups of coffee Received Caffeinated coffee (5 mg/kg/BM) Decaffeinated coffee No treatment CON Informed CON Conditional placebo (Group 2) Conditional caffeine (Group 3) Deceived placebo (Group 4) Caffeine (group 5) Expectancy manipulation Verbally informed CAF tablets were equivalent to 2 cups of coffee	No expectancy effect observed.

3213.4. Oei and Hartley [55]

322 It is unclear whether ‘told CAF’ refers to given CAF/placebo conditions. Likewise, it is
323 difficult to interpret the information that was provided during ‘given CAF’ conditions. Yet,
324 if told CAF conditions refer solely to expectancies, then the results of Oei and Hartley [55]
325 suggest that positive habituated expectancies can improve sustained attention performance
326 comparably versus CAF pharmacology. These findings are in contrast to Walach et al. [54]
327 who observed no performance effect in individuals displaying low a *priori* expectancies.
328 However, in the current study subjective expectancies were modulated through the use of
329 verbal feedback and open preparation of solutions. The latter technique was also used by
330 Fillmore & Vogel-Sprott [56] who also observed expectancy effects but in individuals
331 displaying low a *priori* expectancies. This observation supports the notion that more salient
332 manipulation techniques could exert greater expectancy effects. Habituated expectancies
333 may significantly influence the ergogenicity of CAF expectancies, therefore further
334 information regarding the origin of these beliefs is required, as it is likely personal and
335 vicarious experiences associated with CAF, social factors (sports cultures etc.), and
336 perceptions influenced by advertisement campaigns will likely prove influential here [6,73,
33774].

3383.5. Schneider et al. [75]

339 The authors attributed the lack of expectancy effect that observed to the dose of CAF
340 used, which may have been insufficient to stimulate central nervous activity or
341 expectancies, especially if participants were accustomed to consuming greater quantities
342 whereby a physiological tolerance may have been developed to lower dosages [76].
343 However, no information regarding habitual CAF consumption was provided, therefore this
344 cannot be confirmed. This seems a reoccurring theme [45,46,53]. It is important for future
345 research to explore participants’ dietary habits and habituated expectancies to elucidate
346 whether a relationship exists between these factors, and if so, why contradictory
347 observations are prevalent [6,54,56]. This may be associated with the techniques used to
348 manipulate expectancies. Similar to Walach et al. [54,69] who also observed no expectancy
349 effect, the current study also used leaflets to describe CAF’s ergogenic benefit. In contrast,
350 when visual techniques (e.g., presentations, watching coffee brewed, etc.) were used, an
351 expectancy effect was always observed [36,55–77] and successful expectancy manipulation
352 was confirmed whenever this was explored.

3533.6. Harrell and Juliano [4]

354 Harrell and Juliano [4] explored the effects of caffeine expectancies on reaction time,
355 alertness and concentration which have been observed to enhance performance across a
356 range of sports (e.g., soccer, rugby, boxing) [78–80]. The induction of side effects (e.g.,
357 episodes of headaches and negative somatic effects) and prevalence of CAF withdrawal
358 symptoms were considered more substantive during “told impair” conditions. The authors
359 suggest compensating for these debilitating perceptions and reverse any performance
360 declines individuals may have increased conscious effort. Alternatively, participants in
361 “told enhance” conditions may have become over confident resulting in reductions in effort
362 [37]. In support of this notion, post-hoc analysis revealed that all participants believed the
363 deception employed and general expectancies for improved cognitive performance were
364 greater in “told enhance” versus “told impair” conditions. This observation is supported by
365 Tallis et al. [37] and further contradicts the notion of a linear relationship between
366 expectancies and performance [65].

367 Moreover, the benefit that is associated with CAF pharmacology may have been
368 overestimated due to the potential reversal of withdrawal symptoms (N.B. participants were
369 described as experiencing CAF withdrawal symptoms from the onset of this study) [81–

37083]. Interestingly, CAF only ameliorated these symptoms during “told enhance” conditions, 371with “given CAF/told impair”, resulting in greater perceptual side effects and withdrawal 372symptoms versus all other conditions. It is unclear why similar effects were not observed 373for “given PLA/told impair”. We speculate, during “told impair” conditions, CAF’s 374stimulatory properties may have augmented the perception of side effects and withdrawal 375symptoms experienced and induced a reverse placebo effect. This advocates an interesting 376relationship between beliefs and CAF side effects. However, further research is required.

3773.7. Elliman et al. [3]

378 The findings of this study propose, when explored in isolation, neither CAF 379pharmacology nor psychology influenced reaction time. However, in combination 380performance improved which may further advocate a potential synergistic-relationship. For 381example, a possible lack of pharmacological stimulation associated with GP/TC may have 382induced suspicions and limited expectancies. Likewise, if the information that was relayed 383to participants during GC/TP was not kept neutral, any reduction in a *priori* expectancies 384may have reduced motivation and induced a placebo response. Alternatively, it is possible 385that the performance benefits that are associated with GC/TC may also be related to the 386reversal of withdrawal effects, which are only applicable to habitual CAF consumers [3]. In 387line with Harrell and Juliano [4], this further supports the notion that CAF expectancies 388may influence the perception of symptoms/sensations associated with its use. However, this 389remains speculative, as subjective perceptions were not explored and no significant 390differences were observed across mood states.

3913.8. Dawkins et al. [72]

392 The findings of Dawkins et al. [72] are in contrast to Elliman et al. [3], however, 393various methodological differences may account for these discrepancies. For example, 394participants in the present study were considered CAF abstinent only 2 h prior to trials 395which is considerably less than the 12 h in Elliman et al. [3]. Subsequently, expectancy 396effects would have been less likely masked by the reversal of CAF withdrawal. However, 397CAF abstinence 2 h prior to trials suggests exogenous CAF may have influenced these 398results, especially as consumption rates were not checked at any point. Moreover, 399participant body mass was undisclosed, but it is unlikely that the 75 mg dosage of CAF 400used fell within the previously defined ergogenic range (3–9 mg/kg/BM). Absolute doses of 401CAF also present difficulties in regulating subjective CAF intake, which may negate CAF 402pharmacology, especially if between-group anthropometry is not standardised. 403Furthermore, because this dosage represented habitual CAF consumption, the development 404of CAF tolerances cannot be ruled out [84]. Therefore, these results may indicate that CAF 405expectancies are not limited by the development of pharmacological tolerances and 406individuals may not need to increase habitual dosages. Moreover, the success of expectancy 407manipulations may partly depend on an individual’s ability to perceive consumption of 408pharmacologically active CAF, which is less likely following lower dosages. This notion is 409supported in the current study as no participant guessed the true nature of the research. In 410contrast, the dose of CAF consumed was substantially greater during Elliman et al. [3], and 411the authors did not confirm successful expectancy manipulation. Finally, participants in TP 412conditions reported less vigour and greater depression from pre-drink to post-drink; 413therefore, a placebo effect cannot be ruled out. The opposite was observed for TC 414conditions.

4153.9. Denson et al. [77]

416 The strength model of self-regulation [84] explains that self-control and composure 417rely on executive control capacity, which during cognitively demanding tasks can be 418temporarily depleted. Once participants become depleted, they will be less able to control

419 emotional impulses, which may inhibit mental function (e.g., decision making, awareness
420 etc.) and subsequently impair sport, exercise, and cognitive performance [85].

421 Denson et al. [77] suggest caffeine expectancies provided participants a cognitive boost
422 and increased motivation. However, it is unclear why similar results were not applicable to
423 CAF. Alternatively, CAF may have increased physiological arousal through central nervous
424 stimulation, which may have augmented feelings of aggression and subsequently reduced
425 executive control capacity. This would support the findings of Harrell and Juliano [4] and it
426 may represent a link between perceptions of side effects, the direction of expectancy, and
427 the resulting benefit/lack of benefit on the outcome measure(s) assessed. To further assess
428 the effect of CAF on executive control capacity, future studies should explore subjective
429 perceptions and include a cognitively demanding outcome measure (e.g., Stroop task,
430 Bakan vigilance task, BATAK, etc.). This would help to triangulate the link between
431 expectancies, executive control capacity, and cognitive performance more effectively.

432 3.10. Domotor et al. [86]

433 Knowledge of CAF consumption augmented general expectancies and reduced SBP (5
434 mmHg) and HR (3 bpm), versus uncertainty of CAF consumption. Reductions in
435 physiological arousal have been observed to improve cognitive function and attention [87],
436 however, it is unclear whether this was mediated by expectancies or another mechanism, as
437 CAF is generally considered to be stimulatory in action. Alternatively, the concept of
438 uncertainty in group 3 may have increased blood pressure, which could also help to explain
439 this discrepancy [88]. Alternatively, these results may have been influenced by
440 methodological limitations, including a between-subjects study design, a lack of
441 counterbalancing, and familiarisation sessions.

442 4. Discussion

443 This review has addressed seven intervention studies relating to CAF expectancies
444 within the sport and exercise literature, and a further 10 studies relating to measures of
445 cognitive function that may be indirectly affiliated with sport and exercise performance.
446 With respect to the 17 studies included, potential expectancy effects were implicated across
447 13 studies and these were for tasks including cycling [6,36,45,62], knee extension
448 performance [37,46,53], attentional focus [55,72,77], simple reaction time [4,55], and
449 cognitions [3,55,56,72,77]. This review advocates the importance for future studies to
450 implement experimental designs that explore expectancies and the psychological
451 permutations associated with CAF. This will provide further clarity regarding CAF's
452 mechanism(s) of action. At present, these psychological permutations remain largely
453 unaccounted for but may be as influential as CAF pharmacology [6,72].

454 Where applicable, we propose the use of a double dissociation design and a mixed
455 methods approach for studies assessing caffeine expectancies and/or generic caffeine
456 intervention studies. With respect of generic caffeine intervention studies, it is important to
457 standardize expectancies to prevent overlaps between caffeine psychology and
458 pharmacology. This will increase the reliability when attempting to denote the true
459 magnitude of effect for caffeine pharmacology. A double dissociation design not only
460 permits direct comparison of CAF pharmacology and psychology through the use of active
461 placebos, but also the synergistic effect of both. Within the current review, during the
462 adoption of a double-dissociation design, synergism of CAF pharmacology and psychology
463 generally resulted in the greatest performance improvements. A relationship between these
464 properties is plausible. However, at present, limited information is available here and
465 further research is required. A mixed methods approach entails quantitative analysis of the
466 performance parameters employed, but also qualitative exploration of the psychological
467 permutations associated with CAF. As previously described this can be achieved via the use
468 of questionnaires [30], visual analogue scales [46], and verbal feedback mechanisms [45].

469 Participant expectancies may be influenced by a host of experimental and non-
470 experimental parameters and should therefore be considered dynamic in nature and
471 explored across studies, as the experiences during one trial may affect subsequent trials.
472 Additionally, perceptions have been observed to change from pre to post exercise [6].
473 Henceforth, the implementation of post-hoc analysis is important to understand the
474 influence of expectancies across studies. Subjective post-hoc analysis could also provide
475 further information regarding the influence that inter-personal differences may have on
476 placebo responsiveness. To our knowledge, no studies have yet employed a double
477 dissociation design in combination with subjective post-hoc analysis to explore expectancy
478 mechanisms. We believe implementation of these methodological practices will help to
479 elucidate further information regarding CAF expectancy.

480 Expectancy effects are likely mediated by a variety of factors. Within the current
481 review examples included perceived side effects [3,6,56,72], habituated expectancies
482 [37,45,46,54–56], confirmation of successful expectancy manipulation
483 [4,36,37,45,46,56,72,75], pre-existing CAF consumption habits [37,55], and the mode of
484 expectancy manipulation [36,62,72,75,86]. Visual stimuli were always correlated with an
485 expectancy effect [36,55–77], irrespective of the performance measure assessed. In
486 contrast, when literature describing CAF ergogenicity was employed, an expectancy effect
487 was never observed during cognitive assessment [54,69,75], but always observed for sport
488 and exercise performance [46,53,62]. Two studies exploring cognitions proposed issues
489 with the success of expectancy manipulation [54,69], whilst the other did not explore this
490 [75]. Verbal affirmations [3,4,37,45,72,86] resulted in an expectancy effect of 75% and
491 100% of the time, for cognitive and sport and exercise performance, respectively. Three
492 studies [4,72,86] exploring cognitions confirmed successful expectancy manipulations
493 following verbal affirmations; this is in contrast to the lack of success observed following
494 the provision of literature. Only one study used multiple techniques to modulate
495 expectancies, and an expectancy effect was observed alongside confirmation of successful
496 expectancy manipulation here [36]. These findings suggest that, although expectancy
497 effects were always modulated during sport and exercise performance, visual depiction of
498 CAF ergogenicity might represent the greatest expectancy benefit during cognitive
499 performance and this may be linked to greater saliency [36,37,56]. In contrast, the provision
500 of reading material proved least influential. Future studies should confirm the success of
501 expectancy manipulations and validate the efficacy of techniques used to modulate these
502 expectancies. Moreover, a lack of validation and general consideration is also apparent
503 when administering ‘told placebo’ conditions. Studies should aim to neutralise expectations
504 here. If this issue is unaddressed a nocebo response may occur which may subsequently
505 overestimate CAF expectancies [6,46]. Alternatively, inclusion of a 5th group (CON),
506 which is not subjected to an experimental manipulation, might also assist with this issue.

507 Thirteen out of 17 studies used individuals who were considered habitual consumers
508 and expectancy effects were apparent in 10/13. A trend was observed when habitual CAF
509 consumption and positive habituated expectancies were correlated with 2/2 studies
510 observing an expectancy effect [37,55]. However, when individuals displayed a low a
511 *priori* expectation (2/4) [54,56], expectancy effects were only observed following
512 confirmation of successful expectancy manipulation. In comparison, four studies did not
513 confirm participants’ habitual CAF consumption habits [45,46,53,75], with three observing
514 expectancy effects. Two of these studies did however confirm habituated expectancies for
515 performance effects [45,46]. Future studies should acknowledge the potential relationship
516 between habitual CAF consumption and habituated expectations. However, expectancy
517 effects may also be observed in individuals with low a *priori* expectations following
518 successful expectancy manipulations. The relationship between habituated expectancies and
519 consumption habits may also hold implications regarding health states. For example, in
520 some populations, habitual CAF consumers are at an increased risk of the debilitating
521 health concerns versus acute consumers. Yet, these individuals may also reap a greater

522 expectancy benefit due to potentially greater habituated expectancies [6]. However, too
523 great an expectation may prove debilitating to performance by potentially increasing
524 motivation/confidence to a point of debilitation [4,36,37]. Practitioners may therefore wish
525 to consider factors (e.g., personality characteristics, social factors, etc.) that might influence
526 the placebo effect, and how these may be managed to optimise the effectiveness of
527 interventions. The perception of side effects was correlated with an expectancy effect
528 during four studies [3,4,56,72] with only one study observing no effect []. However, the
529 direction of these effects seemed to depend on individual perceptions for a positive or
530 negative performance benefit.

531 Within the current review 12 studies attempted to explain the mechanisms associated
532 with expectancy effects. Some examples included: feelings of side effects and physiological
533 arousal [4,45,46,86], changes in mood states [45,77], reductions in the perception of effort
534 [45,46,53], changes in motivation [4,37,45,77], and the nature of habituated expectancies
535 and beliefs [6,45,46,56,62]. However, only two studies [45,46] performed post-hoc analysis
536 to subjectively explore these mechanisms further. These mechanisms may be multifactorial
537 and depend on a range of subjective factors inclusive of advertisements, beliefs, living
538 experiences, and social relationships [6,89]. However, it is likely that individuals who share
539 similar personal and/or sport culture(s) may utilise comparable mechanisms due to aligned
540 beliefs [6,73].

5415. Conclusions

542 To conclude, 13 out of 17 studies in the current review indicated expectancy effects of
543 varying magnitudes across a range of exercise tasks and cognitive skills. These results
544 support the notion that the psychological permutations associated with oral caffeine
545 consumption may significantly influence caffeine ergogenicity and it may be as significant
546 as caffeine pharmacology. Given these findings, we encourage future studies exploring the
547 influence of caffeine expectancies on sport, exercise, and/or cognitive performance, to
548 utilize the double dissociation design that permits direct comparisons between caffeine
549 pharmacology versus psychology and may inform caffeine's proposed mechanism(s) of
550 action to a greater extent. This recommendation is also particularly relevant to generic
551 caffeine intervention studies where at present caffeine's psychological permutations are
552 largely overlooked, but it may significantly influence any ergogenic response. However, to
553 effectively employ such comparisons, future studies should assess the success of
554 expectancy manipulation, which is likely influenced by various inter-personal factors
555 including habitual caffeine consumption, habituated expectancies, and the social profile of
556 participants used. These factors may be explored through the use of questionnaires and/or
557 interview procedures. Furthermore, the techniques used to modulate expectancies are also
558 important to the success of expectancy manipulation, however, at present, these require
559 validation. Finally, it is fundamental to employ qualitative analytical techniques, including
560 the use of questionnaires and post-hoc analysis to gain a greater understanding how
561 expectancies are modulated and more importantly how they may influence sport, exercise,
562 and cognitive performance.

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